

AIWIN INVESTMENT MONEY
(Partnership Firm | Member: NSE | SEBI Reg. No.: INZ000036426)

GOOD TILL CANCELLED (GTC) ORDER POLICY

Date of Approval: 20.06.2025

Approved by: Compliance Department & Board of Directors

1. INTRODUCTION

This policy outlines the rules, controls, and client-level implementation guidelines for Good Till Cancelled (GTC) orders in compliance with SEBI regulations and applicable guidelines of Indian stock exchanges – NSE, MCX, and BSE (where relevant). It defines the operational framework, risk management procedures, and client disclosure norms.

2. GTC POLICY – AIWIN INVESTMENT MONEY (NSE)

3.1 Scope

Applicable to equity market GTC orders via NSE for clients of Aiwin Investment Money.

3.2 Permissible Products

- Only CNC (delivery-based) equity segment.
- Not allowed in derivatives.
- Not allowed in ASM, GSM, surveillance, or suspended stocks.

3.3 Validity & Expiry

- Maximum order validity: 365 calendar days.
- Auto-expiry in case of corporate action, reclassification, or NSE directive.

3.4 Client Enablement

- Activation upon written/digital request from client.
- Mandatory receipt of Risk Disclosure Document.

3.5 Risk Controls

- Daily validation of fund availability.
- Broker may cancel orders at discretion if RMS thresholds are breached.

3.6 Recordkeeping

- GTC logs, client consents, and modifications preserved as per SEBI norms.
 - Available for internal and external audit.
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4. INTERNAL AUDIT, REVIEW & POLICY CONTROL

- Policy to be reviewed bi-annually.
- Changes to be approved by Compliance & Risk Committee.

5. DISCLAIMER

GTC orders are offered as a convenience facility and are subject to market, technical, and regulatory risks. Execution is not guaranteed.

For Aiwin Investment Money